# **Borough of Matawan**

201 Broad Street, Matawan New Jersey 07747



Karen Wynne, RMC Municipal Clerk (732) 566-3898 ext. 602 Fax (732) 290-7585

karen.wynne@matawanborough.com

# Criteria for Submission of Qualifications for Professional Contracts Under the Fair and Open Process

**NOTICE IS HEREBY GIVEN**, for all applications in positions set forth more fully in a notice of publication authorized by the Governing Body of the Borough of Matawan, for submission of qualifications that shall take into consideration the following factors which will be weighed by the governing body of the Borough of Matawan or by the Unified Planning and Zoning Board of Adjustments in the case of the Planning/Zoning Professionals, as the basis of an award for professional services most advantageous to the Borough of Matawan:

Each interested firm shall submit a proposal containing the following information:

- 1. Name of Firm;
- 2. Address of principal place of business and all attorneys or firm's offices and corresponding telephone and fax numbers. Please note specifically which attorney(s) will be assigned to work with the Borough;
- 3. Rate Schedule for all Personnel (Secretarial, Clerical and the like are not reimbursable);
- 4. Areas of Practice;
- 5. Description of firm's attorneys' education, experience, qualifications, number of years with the firm or other firms and a description of their experience with projects similar to those described above;
- 6. Experience related to representation of public entities and knowledge and experience with the Borough of Matawan;
- 7. The firm's ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff);
- 8. Any other information that the interested firm deems relevant;
- 9. A copy of the firm's New Jersey Business Registration Certificate;
- 10. A completed Statement of Ownership form;
- 11. A completed Public Disclosure Statement;
- 12. A certificate of insurance issued by a producer or insurer, showing professional liability coverage for at least \$500,000/\$1,000,000. The certificate should show the Borough of Matawan as the certificate holder. The certificate should provide that the insurer will endeavor to send a notice to the certificate holder if coverage is cancelled prior to the policy expiration date. (If the firm's proposal is accepted by the Borough and coverage expires during the term of the contract, the firm will be required to provide a renewal certificate of insurance, showing a retroactive date no later than the inception date of the contract, thereby evidencing continuous coverage during the term of the contract.); and,
- 13. A clear and concise statement of hourly fees for all legal work to be undertaken, and where is applicable, the amount of same and what services are included in retainer.

#### **Selection Criteria**

The selection criteria used in awarding a contract or agreement for professional services as described herein shall include:

- 1. Qualifications of the firm and the individual(s) who will perform the tasks;
- 2. Experience of the firm and the individual(s) who will perform the tasks;
- 3. References for the firm and the individual(s) who will perform the tasks; and
- 4. The firm's ability to perform the tasks in a timely fashion, including staffing and familiarity with the municipality.

Selection of professionals shall be solely on the governing body's evaluation of the submitted material in the criteria ser forth in this document.

Applicants must submit all materials (including one (1) hard copy plus one (1) CD copy) in a sealed envelope addressed to the Municipal Clerk of the Borough of Matawan, 201 Broad Street, Matawan, NJ 07747, and shall be received on or before Thursday, December 10, 2015 at or before 10:00 AM.

### **Borough of Matawan**

#### **Public Notice**

## SOLICITATION OF RESPONSES TO REQUEST FOR QUALIFICATIONS

NOTICE IS HEREBY GIVEN that the Borough of Matawan is accepting response to request for qualifications for the Borough of Matawan during the year 2016:

Borough Animal Control & Impoundment Services

Borough Attorney

Borough Conflict Attorney

Borough Auditor (includes LOSAP Audit)

Borough Bond Attorney

Borough Concession Services for 2016 Matawan Day

Borough Engineer/Planner

Borough Conflict Engineer

Borough Grant Consultant

Borough Grass Cutting & Lawn Maintenance

Borough Labor Attorney

Borough Physician

Borough Redevelopment Attorney

Borough Redevelopment Planner

Planning/Zoning Board Attorney

Planning/Zoning Board Engineer/Planner

All responses shall be submitted to Karen Wynne, Borough Clerk, Borough of Matawan, 201 Broad Street, Matawan, NJ 07747 no later than 10:00 AM, <u>Thursday, December 10</u>, 2015.

Responses shall be submitted in the following manner: one (1) printed copy and one (1) copy submitted in electronic format (CD). All responses are to be clearly labeled which shall include the following information: Borough of Matawan, Name and Address of Respondent, Year and Position (or Appointment Respondent is applying for), and Date of Response.

Responses are being solicited in accordance with fair and open process as set forth by PL 2004, Chapter 19 (as amended by PL 2005, c 51) NJSA 19:44-20.4 et seq.

A copy of the request for qualifications document may be obtained at the Office of the Borough Clerk, Borough of Matawan, 201 Broad Street, Matawan, NJ during normal business hours, by contacting the Clerk at <a href="mailto:karen.wynne@matawanborough.com">karen.wynne@matawanborough.com</a> or on the Borough's website <a href="mailto:www.matawanborough.com">www.matawanborough.com</a>.

Karen Wynne, RMC Municipal Clerk

#### **PROPOSAL CHECKLIST**

A. FAILURE TO SUBMIT ANY OF THESE ITEMS WITH PROPOSAL IS

Items required (Owner's checkmarks)

Items submitted with proposal (Respondent's INITIALS)

MANDATORY CAUSE FOR REJECTION OF PROPO	<b>OSAL</b>
Respondent's Proposal (including one (1) hard copy plus one (1) CD copy)	
Rate Schedule for all Personnel (Secretarial, Clerical and the like a reimbursable);	are not
Copy of NJ Business Registration Certificate - Respondent	
Statement of Ownership	
Acknowledgement of receipt of addenda or revisions	
Pay to Play Certification	
B. PREFERRED AT TIME OF PROPOSAL SUBMISSION, BUT $\underline{M}$ WHEN INDICATED	IANDATORY
Public Disclosure Statement (At least 10 days prior to award of contract)	
C. FAILURE TO SUBMIT THESE ITEMS AT TIME OF PROPOS CAUSE FOR REJECTION OF PROPOSAL	SAL <u>MAY</u> BE
Non-Collusion Affidavit	
Certificate of Professional Liability Insurance	
THE UNDERSIGNED RESPONDENT HEREWITH SUBMITS THE DOCUMENTS INDICATED ABOVE	
PRINT NAME OF RESPONDENT:	
ADDRESS:	
PRINT NAME AND TITLE:	
DATE:	

THIS CHECKLIST SHOULD BE INITIALED AND SIGNED WHERE INDICATED AND RETURNED WITH ALL ITEMS

## STATEMENT OF OWNERSHIP

(NJSA 52:25-24.2)

The VENDOI	R is (check one):	
□ Individual	□ Partnership	$\square$ PA $\square$ PC $\square$ LLC $\square$ LLP
□ Corporatio	n	□ Other (specify):
I certify that:		
☐ No individ	ual person or entity owns a	a 10% or greater interest in the Vendor.
		OR
	and addresses of all perso any listed entities are as fo	ons of entities who own a 10% or greater interest in illows:
	NAMES:	ADDRESSES:
1		
2		
3		
4		
5		
☐ Check here	if additional sheets are att	
	NAME OF VENDOR:	
	SIGNED BY:	X
	PRINT NAME & TITLE:	
	DATE:	

NOTE: If an entity owns a 10% or greater interest in the Vendor, list all owners of 10% or greater interest for each such entity. Repeat the process of disclosure as necessary for each tier or level of ownership until the name and address of each individual person who owns a 10% or greater interest in each listed entity has been disclosed.

THESE ARE SAMPLES OF THE **ONLY** ACCEPTABLE BUSINESS REGISTRATION CERTIFICATES. FAILURE TO SUBMIT ONE OF THESE DOCUMENTS **WITH**THE PROPOSAL WILL CAUSE YOUR PROPOSAL TO BE REJECTED.

REGARDLESS OF THE FACT THAT A COPY MAY ALREADY BE ON FILE WITH THE COUNTY OF MONMOUTH.

Taxpayer Name:	
Trade Name:	
Address:	
Certificate Number:	
Date of Insurance:	
For Office Use Only:	
	F NEW JERSEY TRATION CERTIFICATE
TAXPAYER NAME:	TRADE NAME:
TAXPAYER IDENTIFICATION #	SEQUENCE NUMBER:
ADDRESS:	ISSUANCE DATE:
EFFECTIVE DATE:	

# BOROUGH OF MATAWAN ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA OR REVISIONS

(if any)

(Pursuant to Public Law 1999, Chapter 39)

of the firm				
hereby acknowledge	es that receipt	of the following Addenda	ı:	
Notice, Revision or Addendum No.	<u>Dated</u>	Title or Description	Acknowledgement Receipt (Initials)	
No addenda were	received			
Acknowledged for:	(Na	ame of Bidder)		
By:(Signature of	f Authorized Re	epresentative)		
Name:	(Print or Type)			
Title:				
D (				

# **NON-COLLUSION AFFIDAVIT**

STATE OF NEW JERS	EY: : ss	
COUNTY OF	:	
I,,	, Residing in(name of mu	
(name of affiant)	(name of mu	nicipality)
in the County ofsworn according to law of my o	and the State of oath depose and say that:	, of full age, being duly
I am ao	of the firm of(company st	
(title or position)	(company st	ubmitting bid)
The bidder making the proposal	l for	ele of bid)
agreement, participated in an competitive bidding in connect in said Proposal and in this affirmation. Monmout Proposal and in the statements of I further warrant that no person such contract upon an agreement.	ny collusion, or otherwise take ion with the above named Proje fidavit are true and correct, and th County, relies upon the truth contained in this affidavit in awa in or selling agency has been emp ment or understanding for a con	ctly or indirectly, entered into any action in restraint of free ct; and that all statements contained made with full knowledge that the of the statements contained in said rding the contract for said Project.  Aloyed or retained to solicit or secure mmission, percentage, brokerage of lish, commercial or selling agencie
	(company submitting bid)	_
Subscribed and sworn to before	me	
this day of	, 20	
Notary Public, State of		(Signature of Affiant)
My Commission expires		
-	(Type or Pri	nt name of affiant and Title under signature

DEPARTMENT OF THE TREASUR		HIP DISCLOSURE	HORM HID NUMBER:		
DIVISION OF PURCHASE & PROP		•	ID NOMBER:		
STATE OF NEW JERSEY	<del>-</del>	В	IDDER:	700000000000000000000000000000000000000	
33 W. STATE ST., 9TH FLOOR					
PO BOX 230 FRENTON, NEW JERSEY 08625-02	230				
INSTRUCTIONS : Provide below the		dates of birth, offices held	d and any ownership interes	t of all officers of the firm	named above. If
	e is necessary, provide on				,
NAME HOME ADD	DRESS I	DATE OF BIRTH	OFFICE HELD	OWNERSHI (Shares Owned or '	P INTEREST % of Partnership)
			11.40	-	
			,		
INSTRUCTIONS Provide below the name owner having a 10% or greater interest in the temperation or partnership. From has previously been submitted to the I	If additional space is necessar Purchase Bureau in connection	ry, provide that information n with another bid, indicate o	on an attached sheet. Complet	e the certification at the botte ate, and complete the certific <b>e" below.</b>	nn of this form. If this ation below.
NAME HOME ADI	DRESS I	DATE OF BIRTH	OFFICE HELD	OWNERSHI (Shares Owned or	
·					
-					· · · ·
	COMF	PLETE ALL QUESTION	IS BELOW		
Within the past five years has anoth (If yes, complete and attach a sepa)				above? <u>YES</u>	NO.
disorderly persons matter by the Sta for each instance.)  3. Has any person or entity listed in the any agency of government from bid explanation for each instance.)	is form or its attachments	ever been suspended, det	paired or otherwise declared	ineligible by	<u> </u>
<ol> <li>Are there now any criminal matters involved? (If yes, attach a detailed et</li> </ol>			m and/or its officers and/or	managers are	<u> </u>
<ol> <li>Has any Federal, State or Local lice held or applied for by any person or proceedings specifically seeking or instance.)</li> </ol>	r entity listed in this form,	been suspended or revok	ed, or been the subject or at	ıy pending	_ <u>C</u>
CERTIFICATION: I, being duly swor we true and complete. I acknowledge the obligation from the date of this certifor information contained herein. I as o, I recognize that I am subject to criminat the State at its option, may declare a l, being duly authorized, certify that the foregoing statements made by me are true	hat the State of New Jersey fication through the comp acknowledge that I am awar ninal prosecution under the any contract(s) resulting from information supplied above	r is relying on the information of any contracts to that it is a criminal offer law and that it will also come this certification void and including all attached page.	ation contained herein and the with the State to notify the see to make a false statement on the state a material breach of dunenforceable.  ges, is complete and correct to the state of t	ereby acknowledge that I a  State in writing of any c or misrepresentation in this my agreement(s) with the of the best of my knowledge	m under a continuing thanges to the answ certification, and if I State of New Jersey and I certify that all of the continuing that all of the certify that all of the certification in the certification
Company Name:		_			(Signature)
Address:		PRINT OR TYPE:	4 May 17 May 18 May		(Name)
		PRINT OR TYPE:			(Title)
natural selection will		Date			_
FEIN/SSN#:					
EIN/SSN#:					
EIN/SSN#:					
EIN/SSN#:		. 1			

#### DISCLOSURE OF INVESTIGATIONS AND ACTIONS INVOLVING BIDDER

The bidder shall provide a detailed description of any investigation, litigation, including administrative complaints or other administrative proceedings, involving any public sector clients during the past five years including the nature and status of the investigation, and, for any litigation, the caption of the action, a brief description of the action, the date of inception, current status, and, if applicable, disposition.

Person or Entity	Date of Inception	Brief Description	Disposition/Status (if applicable)	Bidder Contact Name and Telephone Number for additional information

Litigation/Administrative Complaints
Indicate "NONE" if no Litigation/Administrative Complaints. Attach additional pages if necessary.

Person or Entity

Date of Inception

Date of Inception

Caption of the Action

Brief Description of the Action

Caption of the Action

Caption of the Action

Brief Description of the Action

(if applicable)

Bidder Contact Name and Telephone Number for additional information

#### MACBRIDE PRINCIPLES FORM

#### BIDDER'S REQUIREMENT: TO PROVIDE A CERTIFICATION IN COMPLIANCE WITH MACBRIDE PRINCIPLES AND NORTHERN IRELAND ACT OF 1989

Pursuant to Public Law 1995, c. 134, a responsible bidder selected, after public bidding, by the Director of the Division of Purchase and Property, pursuant to N.J.S.A. 52:34-12, or the Director of the Division of Building and Construction, pursuant to N.J.S.A. 52:32-2, must complete the certification below by checking one of the two representations listed and signing where indicated. If a bidder who would otherwise be awarded a purchase, contract or agreement does not complete the certification, then the Directors may determine, in accordance with applicable law and rules, that it is in the best interest of the State to award the purchase, contract or agreement to another bidder who has completed the certification and has submitted a bid within five (5) percent of the most advantageous bid. If the Directors find contractors to be in violation of the principles which are the subject of this law, they shall take such action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.

I certify, pursuant to N.J.S.A. 52:34-12.2 that the entity for which I am authorized to bid:

has no ongoing business activities in Northern Ireland and does not maintain a physical presence therein through the operation of offices, plants, factories, or similar facilities, either directly or indirectly, through intermediaries, subsidiaries or affiliated companies over which it maintains effective control; or

will take lawful steps in good faith to conduct any business operations it has in Northern Ireland in accordance with the MacBride principles of nondiscrimination in employment as set forth in N.J.S.A. 52:18A-89.8 and in conformance with the United Kingdom's Fair Employment (Northern Ireland) Act of 1989, and permit independent monitoring of their compliance with those principles.

I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

Signature:

Date:

Title:

Firm Name:

Title:

#### **AFFIRMATIVE ACTION SUPPLEMENT**

	AFFIRMATIVE ACTION	TERM CONTRACT - ADVERTISED BID PROPOSAL
ĺ	DEPT OF THE TREASURY	BID NUMBER:
	DIVISION OF PURCHASE & PROPERTY	
	STATE OF NEW JERSEY	MANG OF DIDDER.
	33 WEST STATE STREET, 9TH FLOOR	NAME OF BIDDER:
	PO BOX 230	
	TRENTON, NEW JERSEY 08625-0230	

#### SUPPLEMENT TO BID SPECIFICATIONS

#### DURING THE PERFORMANCE OF THIS CONTRACT, THE CONTRACTOR AGREES AS FOLLOWS:

- 1. THE CONTRACTOR OR SUBCONTRACTOR, WHERE APPLICABLE, WILL NOT DISCRIMINATE AGAINST ANY EMPLOYEE OR APPLICANT FOR EMPLOYMENT BECAUSE OF AGE, RACE, CREED, COLOR, NATIONAL ORIGIN, ANCESTRY, MARITAL STATUS, SEX, APPECTIONAL OR SEXUAL ORIGINATION. THE CONTRACTOR WILL TAKE AFFIRMATIVE ACTION TO ENSURE THAT SUCH APPLICANTS ARE RECRUITED AND EMPLOYED, AND THAT EMPLOYEES ARE TREATED DURING EMPLOYMENT, WITHOUT REGARD TO THEIR AGE, RACE, CREED, COLOR, NATIONAL ORIGIN, ANCESTRY, MARITAL STATUS, SEX, AFFECTIONAL OR SEXUAL ORIGINATION. SUCH ACTION SHALL INCLUDE, BUT NOT BE LIMITED TO THE FOLLOWING: EMPLOYMENT, UPGRADING, DEMOTION, OR TRANSFER; RECRUITMENT OR RECRUITMENT ADVERTISING; LAYOFF OR TERMINATION; RATES OF PAY OR OTHER FORMS OF COMPENSATION; AND SELECTION FOR TRAINING, INCLUDING APPRENTICESHIP. THE CONTRACTOR AGREES TO POST IN CONSPICUOUS PLACES, AVAILABLE TO EMPLOYEES AND APPLICANTS FOR EMPLOYMENT, NOTICES TO BE PROVIDED BY THE PUBLIC AGENCY COMPLIANCE OFFICER SETTING FORTH PROVISIONS OF THIS NONDISCRIMINATION CLAUSE;
- 2. THE CONTRACTOR OR SUBCONTRACTOR, WHERE APPLICABLE WILL, IN ALL SOLICITATIONS OR ADVERTISEMENTS, FOR EMPLOYEES PLACED BY OR ON BEHALF OF THE CONTRACTOR, STATE THAT ALL QUALIFIED APPLICANTS WILL RECEIVE CONSIDERATION FOR EMPLOYMENT WITHOUT REGARD TO AGE, RACE, CREED, COLOR, NATIONAL ORIGIN, ANCESTRY, MARITAL STATUS, SEX, AFFECTIONAL OR SEXUAL ORIENTATION.
- 3. THE CONTRACTOR OR SUBCONTRACTOR, WHERE APPLICABLE, WILL SEND TO EACH LABOR UNION OR REPRESENTATIVE OF WORKERS WITH WHICH IT HAS A COLLECTIVE BARGAINING AGREEMENT OR OTHER CONTRACT OR UNDERSTANDING, A NOTICE, TO BE PROVIDED BY THE AGENCY CONTRACTING OFFICER ADVISING THE LABOR UNION OR WORKERS' REPRESENTATIVE OF THE CONTRACTOR'S COMMITMENTS UNDER THIS ACT AND SHALL POST COPIES OF THE NOTICE IN CONSPICUOUS PLACES AVAILABLE TO EMPLOYEES AND APPLICANTS FOR EMPLOYMENT.
- 4. THE CONTRACTOR OR SUBCONTRACTOR, WHERE APPLICABLE, AGREES TO COMPLY WITH THE REGULATIONS PROMULGATED BY THE TREASURER PURSUANT TO P.L. 1975, C. 127,AS AMENDED AND SUPPLEMENTED FROM TIME TO TIME AND THE AMERICANS WITH DISABILITIES ACT.
- 5. THE CONTRACTOR OR SUBCONTRACTOR AGREES TO ATTEMPT IN GOOD FAITH TO EMPLOY MINORITY AND FEMALE
  WORKERS CONSISTENT WITH THE APPLICABLE COUNTY EMPLOYMENT GOALS PRESCRIBED BY N.J.A.C. 17:27-5.2 PROMULGATED
  BY THE TREASURER PURSUANT TO P.L. 1975, C. 127, AS AMENDED AND SUPPLEMENTED FROM TIME TO TIME OR IN
  ACCORDANCE WITH A BINDING DETERMINATION OF THE APPLICABLE COUNTY EMPLOYMENT GOALS DETERMINED BY THE
  AFFIRMATIVE ACTION OFFICE PURSUANT TO N.J.A.C. 17:27-5.2 PROMULGATED BY THE TREASURER PURSUANT TO P.L.
  1975, C. 127, AS AMENDED AND SUPPLEMENTED FROM TIME TO TIME.
- 6. THE CONTRACTOR OR SUBCONTRACTOR AGREES TO INFORM IN WRITING APPROPRIATE RECRUITMENT AGENCIES IN THE AREA, INCLUDING EMPLOYMENT AGENCIES, PLACEMENT BUREAUS, COLLEGES, UNIVERSITIES, LABOR UNIONS, THAT IT DOES NOT DISCRIMINATE ON THE BASIS OF AGE, CREED, COLOR, NATIONAL ORIGIN, ANCESTRY, MARITAL STATUS, SEX, APPRECTIONAL OR SEXUAL ORIGINATION, AND THAT IT WILL DISCONTINUE THE USE OF ANY RECRUITMENT AGENCY WHICH ENGAGES IN DIRECT OR INDIRECT DISCRIMINATORY PRACTICES.
- 7. THE CONTRACTOR OR SUBCONTRACTOR AGREES TO REVISE ANY OF ITS TESTING PROCEDURES, IF NECESSARY, TO ASSURE THAT ALL PERSONNEL TESTING COMPORMS WITH THE PRINCIPLES OF JOB-RELATED TESTING, AS ESTABLISHED BY THE STATUTES AND COURT DECISIONS OF THE STATE OF NEW JERSEY AND AS ESTABLISHED BY APPLICABLE FEDERAL LAW AND APPLICABLE FEDERAL COURT DECISIONS.
- 8. THE CONTRACTOR OR SUBCONTRACTOR AGREES TO REVIEW ALL PROCEDURES RELATING TO TRANSFER, UPGRADING, DOWNGRADING AND LAYOFF TO ENSURE THAT ALL SUCH ACTIONS ARE TAKEN WITHOUT REGARD TO AGE, CREED, COLOR, NATIONAL ORIGIN, ANCESTRY, MARITAL STATUS, SEX, AFFECTIONAL OR SEXUAL ORIENTATION, AND CONFORM WITH THE APPLICABLE EMPLOYMENT GOALS, CONSISTENT WITH THE STATUTES AND COURT DECISIONS OF THE STATE OF NEW JERSEY, AND APPLICABLE FEDERAL LAW AND APPLICABLE FEDERAL COURT DECISIONS.

THE CONTRACTOR AND ITS SUBCONTRACTORS SHALL FURNISH SUCH REPORTS OR OTHER DOCUMENTS TO THE AFFIRMATIVE ACTION OFFICE AS MAY BE REQUESTED BY THE OFFICE FROM TIME TO TIME IN ORDER TO CARRY OUT THE PURPOSES OF THESE REGULATIONS, AND PUBLIC AGENCIES SHALL FURNISH SUCH INFORMATION AS MAY BE REQUESTED BY THE AFFIRMATIVE ACTION OFFICE FOR CONDUCTING A COMPLIANCE INVESTIGATION FURSUANT TO SUBCHAPTER 10 OF THE ADMINISTRATIVE CODE (NJAC17:27).

\* NO FIRM MAY BE ISSUED A PURCHASE ORDER OR CONTRACT WITH THE STATE UNLESS THEY COMPLY WITH THE AFFIRMATIVE ACTION REGILLATIONS.

#### PLEASE CHECK APPROPRIATE BOX (ONE ONLY)

- C I HAVE A CURRENT NEW JERSEY AFFIRMATIVE ACTION CERTIFICATE, (PLEASE ATTACH A COPY TO YOUR PROPOSAL).
- C I HAVE A VALID FEDERAL AFFIRMATIVE ACTION PLAN APPROVAL LETTER, (PLEASE ATTACH A COPY TO YOUR PROPOSAL).
- O I HAVE COMPLETED THE ENCLOSED FORM AA302 AFFIRMATIVE ACTION EMPLOYEE INFORMATION REPORT.

REV. 12/90

# INSTRUCTIONS FOR COMPLETING THE AFFIRMATIVE ACTION EMPLOYEE INFORMATION REPORT (FORM AA302)

READ THE FOLLOWING INSTRUCTIONS CAREFULLY BEFORE COMPLETING THE FORM. PRINT OR TYPE ALL INFORMATION. FAILURE TO PROPERLY COMPLETE THE ENTIRE FORM MAY DELAY ISSUANCE OF YOUR CERTIFICATE.

Item 1 - Enter the Federal Identification Number assigned to the Contractor or vendor by the Internal Revenue Service, or if a Federal Employer Identification Number has been applied for, but not yet issued, write the words "applied for",

of your business is such that you have not, or will not receive a Federal Employee Identification Number, enter the Social Security Number assigned to the single owner or to a partner, in case of partnership.

Item 2 - Check the box appropriate to your TYPE OF BUSINESS. If you are engaged in more than one type of business, check the predominant one. If you are a manufacturer deriving more than 50% of your receipts from your own retail outlets, check "Retail".

**Item 3** - Enter the total "number" of employees in the entire company, including part-time employees. This number shall include all facilities in the entire firm or corporation.

**Item 4** - Enter the name by which the company is identified. If there is more than one company name, enter the predominant one.

Item 5 - Enter the physical location of the company, include City, County, State and Zip Code.

**Item 6** - Enter the name of any parent or affiliated company including City, State and Zip Code. If there is none, so indicate by entering "None" or N/A.

Item 7 - Check the appropriate box for the total number of employees in the entire company. "Entire Company" shall include all facilities in the entire firm or corporation, including part-time employees, not use those employees at the facility being awarded the contract.

Item 8 - Check the box appropriate to your type of company establishment. Single-establishment Employer shall include an employer whose business is conducted at more than one location.

item 9 - If multi-establishment was entered in Item 8, enter the number of establishments within the State of New Jersey.

Item 10 - Enter the total number of employees at the establishment being awarded the contract.

**Item 11** - Enter the name of the Public Agency awarding the contract. Include City, State and Zip Code.

Item 12 - Enter the appropriate figures on all lines and in all columns. THIS SHALL ONLY INCLUDE EMPLOYMENT DATA FROM THE FACILITY THAT IS BEING AWARDED THE CONTRACT. DO NOT list the same employee in more than one job category.

Racial/Ethnic Groups will be so defined:

Black: Not of Hispanic origin. Persons have origin in any of the Black racial groups of Africa.

Hispanic: Persons of Mexican, Puerto Rican, Cuban or Central or South American or other Spanish culture or origin, regardless of

American Indian or Alaskan Native: Persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

Asian or Pacific Islander: Persons having origin in any of the peoples of the Far East, Southeast Asia, the Indian Subcontinent or the Pacific Islands. This area includes for example, China, Japan, the Philippine Islands and Samoa.

**Item 13** - Check the appropriate box, if the race or ethnic group information was not obtained by 1 or 2, specify by what other means this was done in 3.

**Item 14** - Enter the dates of the payroll period used to prepare the employment data presented in Item 12.

**Item 15** - If this is the first time an Employee Information Report has been submitted for this company, check block "Yes".

Item 16 - If the answer to Item 15 is "No", enter the date when the last Employee Information Report was submitted by this company.

**Item 17** - Print or type the name of the person completing this form. Include the signature, title and date.

**Item 18** - Enter the physical location where the form is being completed. Include City, State, Zip Code and Phone Number.

#### State of New Jersey AFFIRMATIVE ACTION EMPLOYEE INFORMATION REPORT

IMPORTANT - READ INSTRUCTIONS ON PRIOR PAGE CAREFULLY BEFORE COMPLETING FORM. TYPE OR PRINT IN INK.
FAILURE TO PROPERLY COMPLETE THE ENTIRE FORM MAY DELAY ISSUANCE OF YOUR CERTIFICATE. SECTION A - COMPANY IDENTIFICATION

2. TYPE OF BUSINESS

3. TOTAL NO. OF EMPLOYEES IN THE ENTIRE COMPANY

COMPANY 1. FID. NO. OR SOCIAL SECURITY ●1. MFG. ○ 2. SERVICE ○ 3. WHOLESALE ○ 4. RETAIL ○ 5. OTHER 4. COMPANY NAME 5, STREET CITY COUNTY STATE ZIP CODE 6. NAME OF PARENT OR AFFILIATED COMPANY (IF NONE, SO INDICATE) CITY STATE ZIP CODE 7. DOES THE ENTIRE COMPANY HAVE A TOTAL OF AT LEAST 50 EMPLOYEES? CAES C NO SINGLE-ESTABLISHMENT EMPLOYER 8. CHECK ONE: IS THE COMPANY: MULTI-ESTABLISHMENT EMPLOYER 9. IF MULTI-ESTABLISHMENT EMPLOYER, STATE THE NUMBER OF ESTABLISHMENTS IN N.J.: [ 10. TOTAL NUMBER OF EMPLOYEES AT THE ESTABLISHMENT WHICH HAS BEEN AWARDED THE CONTRACT: 11. PUBLIC AGENCY AWARDING CONTRACT: CITY STATE ZIP CODE OFFICIAL USE ONLY ASSIGNED CERTIFICATION NUMBER MO/DAY/YR MINORITY FEMALE COUNTY SECTION B - EMPLOYMENT DATA yees ON YOUR OWN PAYROLL. Enter the appropriate of the complex of the propriate of the prop riate figures on all lines and in all colu-categories, in columns 1, 2, & 3. porary and pare-ticular category, enter a zero. Incarcticular category. Incarcticu 12. Report all pe are no employees in a p MINORITY GROUP EMPLOYEES (PERMANENT) JOB CATEGORIES MALE FEMALE TOTAL Officials and Managers Professionals Technicians Sales Workers Office and Clerical Craftworkers (Skilled) Operatives (Semi-skilled) Laborers (Unskilled) Service Workers TOTAL Total employment from Pre shall NOT be Temporary and Part-time Employees

13. HOW WAS INFORMATION AS TO RACE OR ETHNIC GROUP IN SECTION B OBTAINED? 15. IS THIS THE FIRST EMPLOYEE INFORMATION REPORT (AA.302) SUBMITTED? | MO. | DAY | YEAR | ○ 1. VISUAL SURVEY ○ 2. EMPLOYMENT RECORD ○ 3. OTHER (SPECIFY BELOW) 14. DATES OF PAYROLL PERIOD USED C 1. YES C 2. NO SECTION C - SIGNATURE AND IDENTIFICATION

17. NAME OF PERSON COMPLETING FORM (PRINT OR SIGNATURE TYPE)(CONTRACTOR EEO OFFICER)

TITLE

MO. DAY YEAR

PHONE (AREA CODE, NO. & EXTENSION)

G:\MUNICIPAL CLERK\Fair & Open Bidding\2016 Fair and Open Criteria Complete.doc

(CITY)

(STATE)

(ZIP CODE)

18. ADDRESS (NO. & STREET)

FORM AA302